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# Drought conditions, aridity and forest structure control the responses of Iberian holm oak woodlands to extreme droughts: A large-scale remote-sensing exploration in eastern Spain

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# ABSTRACT

Understanding how Mediterranean forests respond to the increasing frequency of extreme droughts and forest densification is crucial for effective land management in the present context of climate change and land abandonment. We study the responses of Iberian holm oak (Quercus ilex L.) woodlands to recent extreme droughts during 2000–2019 along broad gradients of climate aridity and forest structure. To this purpose, we apply largescale remote-sensing using MODIS EVI as a primary production proxy in 5274 Q. ilex sites distributed within a 100,000 km<sup>2</sup> region in eastern Spain. These woodlands were extensively affected by two extreme drought events in 2005 and 2012. Resistance, assessed as the capacity of the ecosystems to maintain primary production during drought, was significantly lower for semi-arid than for sub-humid and dry-transition conditions. Holm oak woodlands located in semi-arid areas of the region showed also poorer resilience to drought, characterized by low capacity to fully recover to their pre-drought production levels. Further, drought intensity and both pre- and post-drought hydric conditions controlled the variations of resistance, recovery and resilience between the two analyzed extreme drought events. Drought effects were particularly negative for dense Q. ilex stands under semiarid climate conditions, where strong competition for scarce water resources reduced drought resistance. The observed drought vulnerability of semi-arid holm oak woodlands may affect the long-term stability of these dry forests. Adaptive management strategies, such as selective forest thinning, may be useful for improving drought responses in these more vulnerable semi-arid woodlands. Conversely, natural rewilding may more appropriately guide management actions for more humid areas, where densely developed Q. ilex woodlands show in general a high ability to maintain ecosystem primary production during drought.

## 1. Introduction

Forest ecosystems play a critical role for the maintenance of biodiversity worldwide and the provision of many other ecosystem services, including water cycle regulation, erosion control, habitat creation, carbon sequestration, the production of wood and non-wood market goods and cultural/recreative services (Mori et al., 2017). Environmental data records indicate that the organization and function of these

landscapes is rapidly changing under the influence of external drivers, such as increased water shortages and frequency of droughts resulting from climate change (Simonson et al., 2014). Drought effects commonly include the reduction of forest productivity, canopy defoliation, tree mortality and the alteration of natural regeneration patterns (Allen et al., 2015; DeSoto et al., 2020; García-Fayos et al., 2020), which together can induce large changes in the carbon cycle at the forest stand level (Reichstein et al., 2013; Anderegg et al., 2020) and long-term

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geographical displacement at the forest species level (Benito-Garzón et al., 2008; Ruiz-Labourdette et al., 2012; Mauri et al., 2022).

The Mediterranean basin is currently perceived as a hotspot of climate change (Giorgi, 2006; Doblas-Reyes et al., 2021). Regional air temperatures have increased in this region approx. 1.3 °C since 1920, in comparison with a noticeably smaller worldwide increase of  $\sim 0.85$  °C for the same period (Guiot and Cramer, 2016; Cramer et al., 2020). The greater atmospheric evaporative demand resulting from temperature rise has also contributed to an increase in the frequency, intensity and duration of droughts, especially during the last 5-6 decades (Vicente-Serrano et al., 2014) and will likely continue to amplify in the future, in light of current climate projections of increased water shortages for the Mediterranean region (Ali et al., 2022). Synchronously, land abandonment and the decline of rural population in this region since the second half of the 20th century have contributed to recent forest expansion and stand densification, particularly in areas of the northern-shore Mediterranean basin (Lana-Renault et al., 2020). The interactions between land-abandonment patterns and climate change in Mediterranean landscapes can lead to complex ecosystem responses. For example, Astigarraga et al. (2020), in a large-scale exploration along the Iberian Peninsula of changes in forest demography and structure along 1986-2017 concluded that forest density, basal area and tree size have increased since the 1980s due to land abandonment. However, this same study also indicates an aggravation of the negative effects of climate change and greater stand competition due to forest densification since the beginning of the 21st century, resulting in increased growth reductions and tree mortality by severe droughts. How forest ecosystems react in the present context of interactions between increased frequency of extreme droughts and forest densification is, therefore, a question of major importance for land management and adaptation in the Mediterranean region.

Resilience is an emergent property of ecosystems that can be described as their ability to absorb and recover from disturbances, regaining the structure and functions that characterize their stability domains (Gunderson, 2000). Accordingly, forest resilience to extreme drought provides an integrated description of the capacity of these ecosystems to maintain, recover and regain the integrity of their functions during and after the effects of extreme droughts (Lloret et al., 2011; Anderegg et al., 2020). Previous studies indicate that these forest responses to drought depend on complex interactions of multiple intrinsic and extrinsic environmental factors. For example, while tree traits and physiology control drought tolerance, drought intensity and both preand post-drought conditions have a primary influence on drought stress and post-drought tree growth legacies, constituting important determinants of resilience (McDowell et al., 2008; Greenwood et al., 2017; Forner et al., 2018). Further, climate aridity, which constraints water availability and forest productivity, can largely increase forest vulnerability to drought, particularly for local tree populations distributed near the species' aridity tolerance limit (Camarero et al., 2013; Gazol et al., 2017; DeSoto et al., 2020). Forest structure is also likely to affect forest responses to drought. Specifically, intense competition for the use of water due to high tree density has been associated with droughtinduced tree mortality (Greenwood and Weisberg, 2008; Serra-Maluquer et al., 2018; Ogaya et al., 2020).

Forest response to drought is frequently assessed using tree dendrochronological analysis, which offers direct metrics of the effects of climate variability on tree growth (Camarero et al., 2016; Gazol et al., 2017; Sánchez-Salguero et al., 2018). The scope of these tree-ring data explorations is, however, commonly limited to single trees and the forest stand scale. The increasing availability of decadal series of remotely sensed data, such as satellite-driven vegetation indexes that strongly correlate with ecosystem net primary production and tree growth, provide excellent approaches for exploring the response of forest ecosystems to drought at very broad spatial scales (Gazol et al., 2018; Vicente-Serrano et al., 2019). In fact, remote sensing techniques offer unique chances for overcoming the strong spatial limitations that

characterize dendrochronological approaches, facilitating fast exploration of forest ecosystems over vast areas and remote regions.

Quercus ilex L. (holm oak) is a drought tolerant evergreen oak that constitutes a keystone species for the provision of ecosystem services in the Mediterranean region (Marañón et al., 2012). In fact, Q. ilex woodlands are one of the most conspicuous dryland forests in the western Mediterranean basin, where they have been historically impacted during millennia by human activities (mainly wood consumption for fuel, timber and charcoal production, domestic livestock and dryland agriculture) causing reductions of their range and important changes in their structure, including, among others, forest transformations in intensively used coppice stands (Terradas, 1999; Serrada et al., 2017; Camarero and Valeriano, 2023). Since 1950, however, land abandonment has promoted holm oak densification in the region (Vericat et al., 2011). Species distribution models for the Iberian Peninsula and Europe have identified Q. ilex as one of the key forest species that will progressively displace cold-temperate forest species in sub-humid landscapes at medium altitudes (800-1400 m) as a consequence of climate change (Ruiz-Labourdette et al., 2012; Mauri et al., 2022). However, these climate change models also warn that future Q. ilex distribution in the Mediterranean basin could also be reduced in drier areas of its present distribution range, where holm oak may be increasingly exposed to water scarcity and the effects of extreme droughts (Mauri et al., 2022). These negative projections are in line with results obtained by recent holm oak stand explorations in semi-arid areas of the Mediterranean region, where the lack of natural regeneration and increased tree decline are emerging as significant problems for their stability (Camarero et al., 2016; Gentilesca et al., 2017; García-Favos et al., 2020).

Despite the very conspicuous distribution of holm oak woodlands in the Mediterranean region and the important changes that these dryland forests may experience in the future as a function of climate change and land abandonment, the resilience of Q. ilex forests to extreme drought has been scarcely explored at very broad spatial scales. Important knowledge gaps in the analysis of these effects are: (i) the influence of aridity along the full range of climate conditions that govern present Q. ilex spatial distribution on the capacity of holm oak woodlands to maintain, recover and regain the integrity of primary production during and after drought; and (ii) the impact that forest density, largely influenced by the legacy of past human use and recent land abandonment, has in these drought responses. In this study, we explore the resilience of Iberian holm oak woodlands to recent extreme droughts during 2000–2019, using a remote sensing approach over broad-scale gradients of climate aridity and forest structure in eastern Spain. We expect to find a major impact of drought characteristics and aridity on the analyzed responses to extreme drought, since these factors have a direct influence on water shortages and drought stress. Specifically, we hypothesize that drought vulnerability of these Q. ilex woodlands will increase with climate aridity from sub-humid to semi-arid landscapes. We also expect to find a significant effect of forest structure on drought response, particularly under the most arid conditions of Q. ilex distribution, since forest density can largely exacerbate competition for scarce water resources.

# 2. Materials and methods

#### 2.1. Study area

The study region extends over 100,000 km<sup>2</sup> in eastern Spain, within the Iberian System and surrounding areas of this mountain range, comprising the headwaters of the Douro, Tagus and Guadiana rivers and extensive areas of the Ebro, Jucar, Turia and Mijares river basins (Fig. 1). The climate can be classified as Mediterranean (Papadakis, 1966), with two rainy periods concentrated in spring (April–June) and autumn (September–November). Mean annual precipitation (MAP) and air temperature are 360–750 mm and 9.2–14.2 °C, respectively (data



Fig. 1. Location map (total 5274 sites) and aerial view (0.5 m resolution images of the Spanish National Orthophoto Program) of two *Quercus ilex* woodland sites (each  $232 \times 232$  m size). Ar represents climate aridity (calculated as 1 - MAP/PET, where MAP and PET are mean annual precipitation and potential evapotranspiration, respectively).

derived from Ninyerola et al., 2005). Potential evapotranspiration (PET) is 870–1190 mm (data derived from Trabucco and Zomer, 2009). Aridity (Ar), referred in this study as 1-MAP/PET, ranges in the region from 0.25 to 0.65 from the wettest, sub-humid conditions to the driest, semi-arid conditions, respectively.

We selected a total of 5274 study sites (each  $232 \times 232$  m; these dimensions are determined by the pixel size of the UTM re-projected MODIS product applied later in this study for the calculation of ecosystem production and drought resilience components), distributed within sub-humid (175 sites, Ar 0.25-0.35), dry-transition (3165 sites, Ar 0.35-0.50) and semi-arid (1936 sites, Ar 0.50-0.65) climate conditions. Site selection was developed by applying a GIS-based procedure, validated using high-resolution aerial imagery. Specific site selection methods are detailed in Appendix A. Our study sites comprise holm oak woodlands along the full climate distribution range of Q. ilex in the region and a broad variety of forest structure (i.e., tree canopy density) conditions, from closed forests to open woodlands. Active use of these holm oak ecosystems, historically managed as coppice woodlands in the study region, ceased about 70 years ago. In fact, human population in the region has showed a 4-fold decrease since 1950, also leading to large reductions in livestock density, which may have facilitated forest recovery in recent decades (Stellmes et al., 2013; García-Fayos et al., 2020). All study sites were selected without any signs of having been recently managed and no past wildfire activity, at least since 2000. Elevation ranges from 730 to 1470 m above sea level and all sites have flat topography (slope angle is equal or less than 6°). Soils are Mollic Haploxeralfs (Soil Survey Staff, 2014) developed over homogeneous calcareous parent lithology (massive limestones of Jurassic and Cretaceous age). The homogeneous topography and soil/lithology characteristics of the study sites were imposed in the site selection criteria to minimize the influence of environmental factors other than the factors of interest under study (i.e., aridity, forest structure and drought characteristics).

# 2.2. Drought resilience components

We followed the approach described by Lloret et al. (2011) for the analysis of ecosystem responses to extreme drought, using the following three components (or metrics) of resilience to compare ecosystem primary production before, during and after the effects of a drought event (Fig. 2):

 (i) Resistance (Rt, dimensionless), which describes the capacity of an ecosystem to resist the effects of a drought, and is calculated as



**Fig. 2.** Schematic representation of the annual dynamics and response of ecosystem net primary production (EP) to the effects of a drought event.  $EP_{PreDr}$ ,  $EP_{Dr}$  and  $EP_{PostDr}$  represent pre-drought, drought and post-drought net primary production, respectively.

the ratio of ecosystem production during a drought period ( $EP_{Dr}$ ) to pre-drought ecosystem production ( $EP_{PreDr}$ ):

$$R_t = EP_{Dr}/EP_{PreDr} \tag{1}$$

 (ii) Recovery (Rc, dimensionless), which describes the performance readjustment, in terms of recovery of production, of an ecosystem after a drought, and is calculated as the ratio of post-drought (EP<sub>PostDr</sub>) to drought (EP<sub>Dr</sub>) ecosystem production:

$$R_c = EP_{PostDr}/EP_{Dr}$$
<sup>(2)</sup>

(iii) Resilience (Rs, dimensionless), which describes the ability of an ecosystem to regain pre-drought production performance after a drought, and is calculated as the ratio of post-drought (EP<sub>PostDr</sub>) to pre-drought ecosystem production (EP<sub>PreDr</sub>):

$$R_s = EP_{PostDr} / EP_{PreDr}$$
<sup>(3)</sup>

We applied remote sensing estimation of annual net primary production, based on temporal series of the enhanced vegetation index (EVI), to calculate the indices of resilience for extreme drought events of the period 2000–2019. Following recommendations of previous studies (Gazol et al., 2017; Sánchez-Salguero et al., 2018), we used reference periods of 3 years before and after the drought events to determine predrought and post-drought mean ecosystem production in the calculation of the Rt, Rc and Rs metrics. The selected length for the reference periods agrees with reported 1-year to 3-year post-drought growth recovery of holm oak and other angiosperm forest species (Anderegg et al., 2015; DeSoto et al., 2020; Gazol et al., 2020) and prevents drought event overlap in the studied data series.

#### 2.3. Remote sensing proxy of ecosystem net primary production

The enhanced vegetation index (EVI) is a remote-sensing vegetation index strongly sensitive to leaf phenology, which minimizes the interferences caused by the effects of atmospheric aerosols and the variations of soil background color (Huete et al., 2002). Garbulsky et al. (2013) showed that annual EVI strongly correlates with *Q. ilex* stem diametric increment in holm oak woodlands of Spain (Pearson's R = 0.91, p < 0.001), hence providing an excellent proxy of tree growth. Furthermore, Ponce-Campos et al. (2013) showed that the annual integral of EVI values provides an excellent estimator for annual net primary production (ANPP) in woodlands and grasslands worldwide across arid, semi-arid and sub-humid climate conditions. We, therefore, apply annual integral values of the enhanced vegetation index (iEVI) as a proxy of ecosystem production for the analysis of drought resilience components in our holm-oak sites.

We compiled two-decadal (2000–2019) series of EVI for all the study sites (16-day temporal resolution) from the MODIS Terra satellite (MOD13Q1 product, collection 6) using the NASA's LP DAAC Data Pool. The data were re-projected to UTM ETRS89 Zone 30N (232 m resolution after re-projection) and filtered for the removal of anomalous EVI values (e.g., EVI values affected by snow, ice, clouds and other atmospheric anomalies). We applied a weighted Savitzky-Golay adaptive filtering algorithm (Jönsson and Eklundh, 2004) programmed to use the ancillary MOD13Q1 quality/reliability information as criteria for identifying and filtering anomalous EVI values. The algorithm was run using a window size of 5 data points and 3 iterations.

Previous studies have found a close synchronization of MODIS EVI trends with the annual cycles of leaf phenology in Iberian woodlands (Garbulsky et al., 2013; Pasquato et al., 2015). Similarly, annual EVI trends in the studied holm oak sites (Fig. C1a–b in Appendix C) showed an absolute maximum in June, synchronized with spring production, followed by a summer reduction of EVI, frequently leading to a relative minimum value associated with summer drought, and a late, small

growth period until the end of autumn. EVI trends then decrease to reach their absolute minimum values during winter, in late February. Accordingly, the processed EVI data was aggregated in annual phenological cycles (from the beginning of March to the end of February of the next year) to obtain the final iEVI values used as ANPP proxy for the calculation of drought resilience components.

# 2.4. Forest structure and its relationship to the legacy of human use

Tree canopy cover (TC, %) estimations for this study (Fig. 3a) were obtained applying a field-calibrated and validated remote sensing data transformation equation developed using 60-m resolution Normalized Difference Red-Edge 2 index (NDRE2; Barnes et al., 2000) data obtained from a winter-season Sentinel-2 composite image of the region (downloaded from the Copernicus Sentinel-2 Global Mosaic service), and 138 reference (232  $\times$  232 m) holm oak sites with field-validated TC information (Moreno-de-las-Heras et al., 2018) that are distributed within the study area. We selected winter-season NDRE2 upon 4 alternative seasonal composite images and 21 alternative vegetation indexes, since winter NDRE2 maximized the correlation with the reference TC data (Pearson's R = 0.95; p < 0.001). The resulting TC-NDRE2 transformation equation (TC (%) = 215.9NDRE2 - 24.5) showed an excellent calibration and validation performance (calibration  $R^2 = 0.91$ , n = 84 sites; validation RMSE = 6 %, n = 54 sites). Full details for the development, calibration and validation of the NDRE2-TC transformation equation applied for TC estimation can be found in Appendix B.

Under the homogeneous soil/lithological and geomorphological conditions of the study sites (calcareous soil parental material and flat topography), maximum tree canopy cover is limited mainly by climate aridity (Moreno-de-las-Heras et al., 2018; Bochet et al., 2021). In fact, maximum tree canopy cover ( $TC_{max}$ , %) varies non-linearly in the study area from approx. 60 % in the driest explored semi-arid conditions to 90–100 % in the wettest sub-humid conditions of the analyzed aridity range (Fig. 3a). In order to describe forest structure, we standardized their TC values as a function of  $TC_{max}$ , using the Local Deforestation index (Dl, dimensionless, Moreno-de-las-Heras et al., 2018):

$$Dl = (TC_{max} - TC)/TC_{max}$$
<sup>(4)</sup>

Dl is a forest structure and human disturbance index that is independent of climate aridity and ranges from 0 for sites with well preserved, maximum development of forest cover for the climate conditions of the site ( $TC = TC_{max}$ ), to 1 for treeless (TC = 0%) sites that are entirely deforested.

Our study sites comprise a broad variety of forest structure conditions (Fig. 3b), encompassing from dense *Q. ilex* forests, with tree canopy cover close to their climate development potential (Dl <0.35; 1435 sites), to moderately deforested sites (Dl 0.35–0.65; 2967 sites) and very open sites with a few adult, isolated *Q. ilex* trees (Dl >0.65; 872 sites). These Dl variations are closely related to the intensity of human deforestation in the past, controlled in the study region by the proximity of the sites to the nearest human settlements (Fig. 3c), where traditional human activities were typically nucleated (Moreno-de-las-Heras et al., 2018).

#### 2.5. Drought conditions

The Standardized Precipitation Evapotranspiration Index (SPEI, Vicente-Serrano et al., 2010) is a multiscale drought index that quantifies the hydric conditions (i.e., difference between precipitation and atmospheric evaporative demand) as a standardized variable (zero mean and unit variance) and allows for comparison of drought intensity through time and space, independently of the climate conditions of the comparing sites or regions. SPEI can be calculated for different moments of the year and using different monthly time scales. Negative SPEI values



**Fig. 3.** Forest structure and its relationship to climate aridity and the legacy of human use in the analyzed *Quercus ilex* woodlands: distribution of (a) tree canopy cover (TC, %) and (b) local deforestation level (Dl) of the study sites along the explored climate aridity gradient; (c) tree canopy cover and local deforestation level variations in a set of 19 *Q. ilex* sites distributed at increasing distances from a rural settlement in the study region (Santa Eulalia, Teruel). The envelope TC<sub>max</sub> (%) line in (a) represents maximum tree cover along the climate aridity range of the study holm oak sites. The aerial view (Spanish National Orthophoto Program) and TC-Dl spatial trends in (c) are adapted from Moreno-de-las-Heras et al. (2018).

indicate dry conditions, while positive values indicate wet conditions. SPEI takes values below -0.83 and -1.28 (above 0.83 and 1.28) for moderately and severely dry (wet) conditions with 5- and 10-year return periods, respectively. These threshold values were established by detailed analysis of the distribution of extreme events using long-term (up to 117 years) series of SPEI values for the Iberian Peninsula (González-Hidalgo et al., 2018; Liberato et al., 2021).

We obtained 2000–2019 SPEI data for this study from the Historical Database of Meteorological Drought Indices for Spain (https://monit ordesequia.csic.es/). This dataset offers gridded (1.1 km spatial resolution) SPEI data available in NetCDF format for the full territory of peninsular Spain with weekly time resolution since 1961 and up to 48-month timescale, developed using climate data of up to 2269 reference stations of the Spanish Meteorological Agency (Vicente-Serrano et al., 2017).

In order to select an optimal SPEI time and scale for analysis, we applied multilevel Pearson correlations (Hox et al., 2018) between the iEVI values of the sites and a variety of SPEI metrics obtained for different months of the phenological cycle (from March to February) and a variety of time aggregation scales (from 1 to 20 months) through 2000–2019. Site ID was set as random-effects grouping variable in the explored multilevel correlations. We selected July SPEI values with a 10-month timescale to characterize the hydric conditions of the years and further analyses, as this metric maximized the correlation between iEVI and SPEI for our set of 5274 *Q. ilex* sites (Multilevel Pearson's R = 0.47, p < 0.000; Fig. C2 in Appendix C). Similarly, Peña-Gallardo et al. (2018), using tree ring data in Iberian holm oak woodlands found a high sensitivity of *Q. ilex* tree growth to July SPEI values with 8–12-month timescale.

To characterize the hydric conditions during drought events and

their associated pre-drought and post-drought conditions, we applied for each study site and drought year the (July, 10-month scale) SPEI value of the drought event (SPEI<sub>Dr</sub>) and the corresponding SPEI values of the year immediately before and after the drought event (SPEI<sub>PreDr</sub> and SPEI<sub>PostDr</sub>, respectively).

## 2.6. Data analysis

# 2.6.1. Identification and characterization of extreme drought events

Extreme drought events were identified as those drought years within the analyzed series with mean SPEI values for the full region below -1.28 that produced spatially extensive (at least 40 % of the sites), significant reductions in the ecosystem productivity of the studied holm oak woodlands. For each site and year, a significant reduction of ecosystem productivity was defined where iEVI was lower than mean minus one standard deviation iEVI of the previous 3-year period. This drought year selection procedure follows the philosophy of the pointer-year approach (Schweingruber et al., 1990). The applied spatial threshold of 40 % site drought affection is in the range of common pointer-year threshold values used for analysis at both the tree and site levels (for example, Gazol et al., 2017; Sánchez-Salguero et al., 2018).

For every site, we calculated the resistance Rt, recovery Rc and resilience Rs metrics of each identified extreme drought event using iEVI as ecosystem production proxy. Cross-correlation of the calculated Rt, Rc and Rs metrics was analyzed using multilevel Pearson correlation, applying drought event as random-effects grouping variable. Differences between extreme drought events in the measured (Rt, Rc and Rs) responses of the studied sites were further characterized and tested using paired *t*-tests.

#### 2.6.2. Controlling factors of holm oak woodland resilience to drought

We used linear mixed-effects models (LMM) to study the controlling factors of the Rt, Rc and Rs holm oak woodland resilience responses to extreme drought. Separate LMMs were built for each of the three components of drought resilience, using aridity (Ar) and forest structure (described using the Local Deforestation index, Dl) as environmental fixed-effects variables. We also included the interaction between aridity and forest structure (Ar:Dl), since the effects of forest structure may change as competition for the use of scarce water resources intensifies with aridity. Pre-drought SPEI (SPEI<sub>PreDr</sub>) and drought event SPEI  $(SPEI_{Dr})$  were included in the LMM structure for Rt analysis, while both Rc and Rs models included the pre-drought, drought and post-drought SPEI metrics (SPEI<sub>PreDr</sub>, SPEI<sub>Dr</sub> and SPEI<sub>PostDr</sub>, respectively) as additional fixed-effects environmental variables. Last, site ID was included in all models as random-effects factor to control for the effects of repeated measures between the extreme drought events included in the analysis. We optimized the model structure comparing model configurations of increased complexity (i.e., number of fixed effects), using the Akaike's Information Criterion (AIC; Akaike, 1974), which provides a trade-off between model complexity and goodness of fit (details in Tables C1, C2 and C3 in Appendix C).

Analysis of residual values of the selected optimal models revealed the presence of significant spatial autocorrelation (SAC) in the data. We applied the Residuals Autocovariate approach (Crase et al., 2012; Bardos et al., 2015) to control for the effects of SAC in the optimal Rt, Rc and Rs models. This approach requires the calculation of a spatial autocovariate from the model residuals (RAC, for residual autocovariate), which is later included in the model structure with the environmental explanatory variables and interaction effects, as an additional fixed effect variable. For the selected configurations of the Rt, Rc and Rs models, we applied focal calculation of RAC values (Crase et al., 2012) within a 500-m neighborhood. We selected a 500-m distance for RAC calculation since this neighborhood length resulted in the best model performance in terms of SAC reduction. RAC inclusion in the optimal Rt, Rc and Rs models successfully removed SAC, as judged by the analysis of spatial cross-correlograms (Bjornstad and Falck, 2001) in the final model residuals. For the final, SAC corrected models, we determined the standardized coefficients (Std.  $\beta$ ) and significance of all (environmental and RAC) fixed effects. We also determined for the final models the marginal (fixed-effects only) and conditional (fixed plus random effects) R<sup>2</sup> (Nakagawa and Schielzeth, 2013).

Data processing and analysis was carried out using R 4.1.1 (R Core Team, 2021).

# 3. Results

# 3.1. Extreme drought events: the 2005 and 2012 severely dry episodes

Different dry and wet episodes were identified along the study period, as assessed by the (July, 10-month scale) SPEI values of the study sites (Fig. 4a). Years 2005, 2012 and 2017 can be considered dry (mean SPEI of the sites is lower than -0.83), while years 2004, 2010 and 2013 can be considered wet (mean SPEI of the sites is higher than 0.83). Two severely dry episodes (mean SPEI lower than -1.28) were identified for years 2005 (SPEI  $-1.99 \pm 0.21$  SD) and 2012 (SPEI  $-1.57 \pm 0.23$  SD). The 2005 drought event was preceded by a year with moderately wet conditions (SPEI 0.88  $\pm$  0.25 SD) and succeeded by a normal year (SPEI  $-0.53 \pm 0.38$  SD). Differently, the 2012 drought was preceded and succeeded by a normal year (SPEI 0.20  $\pm$  0.36 SD) and an exceptionally wet year (SPEI 1.27  $\pm$  0.26 SD), respectively.

The 2005 and 2012 severely dry episodes extensively affected holm oak woodlands in the territory (Fig. 4b–c), producing significant iEVI declines (as compared to the corresponding mean minus one SD iEVI of their precedent, reference 3-year periods) for 90 % and 51 % of the sites, respectively. The 2005 extreme drought affected homogeneously the holm oak woodlands of the territory (Fig. C3a in Appendix C), while the effects of the 2012 extreme drought were distributed more heterogeneously in space. In fact, inland holm oak woodlands located in western areas of the territory, particularly those of sub-humid and dry-transition climate conditions (Ar 0.25–0.50) lying on the mountain range, were less affected by the 2012 drought than *Q. ilex* woodlands distributed in the central and eastern regions of the territory (Fig. C3b in Appendix C).

The 2005 drought impacted woodland productivity, in terms of iEVI drop, more intensively than the 2012 drought, as evidenced by the drought resistance metric (Rt, Fig. 5a). Conversely, post-drought iEVI increase was significantly stronger for the 2005 drought (Rc, Fig. 5b). However, the studied holm oak woodlands recovered pre-drought iEVI levels following the 2012 drought significantly better than following the 2005 extreme event, as indicated by the drought resilience metric (Rs, Fig. 5c). In fact, the 2012 event showed a very good post-drought response, with 15 % of the sites exceeding significantly their predrought (mean plus one standard deviation) iEVI levels after drought. Overall, Rt showed a strong negative correlation with Rc (Multilevel Pearson's R = -0.73; p < 0.001). Less strong, positive correlations were found between Rs and both Rt and Rc (0.42 and 0.31, respectively; p < 0.001).

## 3.2. Holm oak woodland responses to extreme drought: controlling factors

#### 3.2.1. Rt: controlling factors of woodland resistance to drought

The best model structure for the analysis of the controlling factors of woodland resistance to drought included all the (fixed-term) explanatory variables (Ar, Dl, SPEI<sub>PreDr</sub>, SPEI<sub>Dr</sub>) and interactions (Ar:Dl) originally included in the full model (Table C1 in Appendix C). All these effects remained statistically significant at p < 0.001 after correcting the optimal model to control for the effects of spatial autocorrelation (Table 1). Aridity (Ar) affected negatively drought resistance. In other words, woodland resistance to the effects of extreme droughts (i.e., the capacity to preserve antecedent, pre-drought primary production levels during drought) decreased along the studied aridity gradient (Table 1; Fig. 6a). In addition, the interaction between Ar and Dl positively affected Rt, so that the effect of forest structure (assessed by the local



**Fig. 4.** Drought conditions and ecosystem production along 2000–2019 for the studied *Quercus ilex* woodlands: (a) mean  $\pm$  SD hydric conditions (10-month scale July SPEI; blue and red colors indicate wet and dry conditions, respectively); (b) ecosystem production (iEVI); (c) proportion of sites showing significant drought impacts on ecosystem production (i.e., iEVI of present annual cycle is lower than mean minus one SD iEVI of the reference, three previous years). Classification of (severely, moderately) wet/dry and normal hydric conditions in (a) follows Liberato et al. (2021). NA in (c) indicates that iEVI decline was not evaluated for 2000–2002 (reference period is shorter than three years).

Deforestation index, Dl) was dependent on the aridity level (Table 1). In fact, although drought resistance was higher for holm oak woodlands with well-preserved forest cover (i.e., closed forest structure with canopy cover near the maximum climate potential of the sites) under subhumid and dry-transition conditions, this tendency was reversed for woodland sites with semi-arid climate conditions, where Rt decreased with forest density. A boundary was found between these two drought resistance trends at the limit between dry-transition and semi-arid conditions (Ar approx. 0.5, Fig. 6a).

Pre-drought SPEI and drought SPEI also affected Rt (Table 1; Fig. 6b). In fact, woodland resistance was negatively affected by the hydric conditions of the antecedent, pre-drought year (i.e., the more positive the SPEI<sub>PreDr</sub> values, the lower the Rt of the sites) and decreased with the intensity of the drought events (i.e., the more negative the SPEI<sub>Dr</sub> values, the lower the Rt of the sites).

# 3.2.2. Rc: controlling factors of woodland recovery to drought

The best model structure for the analysis of the controlling factors of woodland recovery to the effects of extreme droughts included aridity, forest structure and their interactions (Ar, Dl, and Ar:Dl, respectively) as well as the pre-drought and drought SPEI metrics (SPEI<sub>PreDr</sub>, SPEI<sub>Dr</sub>, respectively) as fixed term explanatory variables (Table C2 in Appendix C). All these environmental effects on Rc, which remained significant at p < 0.001 after correcting the model for the effects of spatial autocorrelation (Table 1), showed opposite trends to those described for Rt. In fact, woodland recovery to drought (i.e., the increase of primary production after drought) increased along the aridity gradient from subhumid to semi-arid conditions (Table 1; Fig. 7a). In addition, drought recovery of the studied holm oak sites under sub-humid and dry-transition conditions was stronger for heavily deforested, open

woodlands than for closed woodlands with well-preserved forest structure (Table 1; Fig. 7a). These effects of forest structure on Rc were less intense under dry-transition conditions and became neutral for semiarid holm oak sites.

Pre-drought SPEI affected positively drought recovery, while drought year SPEI affected negatively Rc (Table 1; Fig. 7b). In other words, the better the hydric conditions of the pre-drought year (i.e., the more positive the  $SPEI_{PreDr}$  values) and the more intense the drought event (i.e., the more negative the  $SPEI_{Dr}$  values), the higher is the post-drought increase of woodland primary production (i.e., the higher the Rc of the sites).

#### 3.2.3. Rs: controlling factors of woodland resilience to drought

The optimal model structure for the analysis of the controlling factors of woodland resilience to drought included aridity (Ar), drought year SPEI (SPEI<sub>Dr</sub>) and post-drought SPEI (SPEI<sub>PostDr</sub>) as explanatory variables (Table C3 in Appendix C), which remained significant at p < 0.001 after correcting the model for the effects of spatial autocorrelation (Table 1). Ar negatively affected Rs, reducing the ability of the studied holm oak woodlands to fully recover their pre-drought primary production levels from sub-humid to dry-transition and semi-arid conditions (Table 1; Fig. 7a). Both, drought year SPEI and pre-drought SPEI affected positively Rs (Table 1; Fig. 8b). In fact, full recovery of the pre-drought levels of productivity in the studied woodlands was hindered by drought intensity (i.e., the more negative the SPEI<sub>Dr</sub> values, the lower the Rs of the sites), but was boosted by the hydric conditions of the subsequent post-drought year (i.e., the more positive the SPEI<sub>PostDr</sub> values, the higher the Rs of the sites).



**Fig. 5.** Responses of the study *Quercus ilex* sites to the 2005 and 2012 extreme drought episodes: between drought comparisons of (a) drought resistance (Rt), (b) recovery (Rc), and (c) resilience (Rs). Different letters indicate statistical differences between drought events (tested using paired *t*-tests). iEVI<sub>PreDr</sub>, iEVI<sub>Dr</sub> and iEVI<sub>PostDr</sub> in the (Rt, Rc and Rs) equations indicate pre-drought, drought and post-drought ecosystem production, respectively.

# 4. Discussion

Two extreme droughts with spatially wide impacts on the productivity of the studied holm oak woodlands were identified along 2000–2019: the 2005 and 2012 events. Vicente-Serrano et al. (2014) in

# Table 1

Linear mixed model results (model fit and fixed effects) for the drought resistance ( $R_t$ ), recovery ( $R_c$ ) and resilience ( $R_s$ ) metrics. All three final models are corrected to control for the effects of spatial autocorrelation using the Residual Autocovariate (Crase et al., 2012; Bardos et al., 2015) approach.

			Resistance (R <sub>t</sub> )				
Marginal R <sup>2</sup>	0.83						
Conditional R <sup>2</sup>							
	St. β	S.E.	d.f.	t	р		
Environmental variables							
Ar	-0.410	0.012	5270	-35.6	< 0.001		
Dl	-0.501	0.032	5270	-15.8	< 0.001		
Ar:Dl	0.465	0.034	5270	13.6	< 0.001		
SPEI <sub>PreDr</sub>	-0.282	0.005	5271	-64.2	< 0.001		
SPEI <sub>Dr</sub>	0.397	0.004	5271	85.7	< 0.001		
Spatial autocovariate							
RAC	0.681	0.004	5271	172.1	< 0.001		

			Recovery (R <sub>c</sub> )				
Marginal R <sup>2</sup>	0.80						
Conditional R <sup>2</sup>							
	St. β	S.E.	d.f.	t	р		
Environmental var	Environmental variables						
Ar	0.311	0.012	5270	25.7	< 0.001		
Dl	0.441	0.033	5270	13.2	< 0.001		
Ar:Dl	-0.371	0.036	5270	-10.4	< 0.001		
SPEI <sub>PreDr</sub>	0.278	0.005	5271	57.7	< 0.001		
SPEI <sub>Dr</sub>	-0.261	0.005	5271	-51.9	< 0.001		
Spatial autocovaria	ate						
RAC	0.748	0.004	5271	173.0	< 0.001		

		Resilience (R <sub>s</sub> )				
Marginal R <sup>2</sup>	0.80					
Conditional R <sup>2</sup>						
	St. β	S.E.	d.f.	t	р	
Environmental variables						
Ar	-0.128	0.005	5272	-23.4	< 0.001	
SPEI <sub>Dr</sub>	0.136	0.008	5271	16.3	< 0.001	
SPEIPostDr	0.185	0.008	5271	23.7	< 0.001	
Spatial autocovari	ate					
RAC	0.817	0.005	5271	173.2	< 0.001	

Abbreviations: Ar, aridity; Dl, local deforestation index (forest structure); Ar:Dl, Ar-Dl interaction; SPEI<sub>PreDr</sub>, pre-drought SPEI; SPEI<sub>Dr</sub>, drought event SPEI; SPEI<sub>PostDr</sub>, post-drought SPEI; RAC, residual autocovariate; St.  $\beta$ , standardized coefficients; S.E., standard error; d.f., degrees of freedom.

an extensive exploration of drought severity in southern Europe identified the 2005 dry event as the most intense and spatially extensive drought that has affected the Iberian Peninsula between the decades of the 1960s and the 2010s. Accordingly, our results indicate that the extreme drought of 2005 affected almost entirely all *Q. ilex* woodlands analyzed within the 100,000 km<sup>2</sup> study region. Numerous studies have reported large impacts in tree growth and forest productivity caused by this extreme drought event, including canopy decay and tree mortality, particularly for pines and cold-temperate broadleaf species (Sánchez-Salguero et al., 2018; Serra-Maluquer et al., 2018; Gazol et al., 2018). Although the 2012 extreme drought was less intense than the 2005 drought, it also affected a high percentage of holm oak woodlands in the study region. Similarly, previous studies have reported significant effects of the 2012 drought for different *Pinus* and *Quercus* species growing



**Fig. 6.** Controlling factors of *Quercus ilex* woodland resistance to drought (Rt): (a) linear mixed model (LMM) effects of climate aridity (Ar) and forest structure (Dl, local deforestation index); (b) LMM effects of drought event SPEI (SPEI<sub>Dr</sub>) and pre-drought SPEI (SPEI<sub>PreDr</sub>). iEVI<sub>Dr</sub> and iEVI<sub>PreDr</sub> in the Rt equation indicate drought and pre-drought ecosystem production, respectively.

# in forests and woodlands within the Iberian Range (Camarero et al., 2016; Forner et al., 2018; García-Barreda et al., 2023).

# 4.1. Controlling effects of Q. ilex woodland responses to extreme drought

Determining how Mediterranean forest ecosystems resist, recover and regain their functionality during and after drought is becoming a major ecological issue for the management of these ecosystems in the present context of climate change and land abandonment. Overall, our results indicate that climate aridity and forest density largely controlled the resistance and recovery of the analyzed *Q. ilex* woodlands to the effects of the 2005 and 2012 extreme drought events, while the ability to regain their primary production levels after drought was further controlled by aridity.

Drought resistance, which describes the capacity of holm oak woodlands to maintain primary production during drought, decreased with climate aridity, which is in accordance with results from other forest species in Spain (Camarero et al., 2013; Gazol et al., 2017; Sánchez-Salguero et al., 2018). During drought, the maintenance of tree growth and ecosystem primary production is highly dependent on the presence of transient reserves of water resources in deep soil horizons, particularly for Mediterranean woodlands dominated by deep-rooted



**Fig. 7.** Controlling factors of *Quercus ilex* woodland recovery to drought (Rc): (a) linear mixed model (LMM) effects of climate aridity (Ar) and forest structure (Dl, local deforestation index); (b) LMM effects of drought event SPEI (SPEI<sub>Dr</sub>) and pre-drought SPEI (SPEI<sub>PreDr</sub>).  $iEVI_{PostDr}$  and  $iEVI_{Dr}$  in the Rc equation indicate post-drought and drought ecosystem production, respectively.

oak species such as Q. ilex (Baldocchi and Xu, 2007; Cubera and Moreno, 2007; Moreno-de-las-Heras et al., 2018). While the presence of these deep reserves of soil water resources is feasible in sub-humid landscapes and, to a major extend, in dry-transition areas, their existence is unlikely under drier, semi-arid conditions, which can explain the impact of aridity on drought resistance in our study region. Further, the denser the holm oak woodlands, the better their production levels were maintained during drought under sub-humid and dry-transition climate, while the opposite was true under semi-arid conditions. These contrasting effects of forest structure can be explained because deeprooted holm oak trees in densely developed sub-humid and drytransition stands can facilitate maintaining the pre-disturbance ecosystem primary production levels during drought by accessing deep soil water resources (Moreno-de-las-Heras et al., 2018). Conversely, in semi-arid sites where these soil reserves of water are unlikely, a high stand density can only contribute to strongly increase tree competition for scarce water resources, thus reducing resistance to drought (Astigarraga et al., 2020).

Differently to resistance, drought recovery increased in our holm oak woodlands with climate aridity. This may reflect the strong dependence of productivity in semi-arid ecosystems on the annual variations of water availability, where the lack of between-year reserves of soil water



**Fig. 8.** Controlling factors of *Quercus ilex* woodland resilience to drought (Rs): (a) linear mixed model (LMM) effects of climate aridity (Ar); (b) LMM effects of drought event SPEI (SPEI<sub>Dr</sub>) and post-drought SPEI (SPEI<sub>PostDr</sub>).  $iEVI_{PostDr}$  and  $iEVI_{PreDr}$  in the Rs equation indicate post-and pre-drought ecosystem production, respectively.

resources typically causes a strong coupling and reactive response of primary production to precipitation variations (Huxman et al., 2004; Poulter et al., 2014: Moreno-de-las-Heras et al., 2018: Vicente-Serrano et al., 2019). Alternatively, phenotypic plasticity and possible local adaptation of semi-arid Q. ilex populations (e.g., smaller baseline crowns, greater ability of physiological recovery) may also explain, at least in part, the positive impact of climate aridity on drought recovery. Interestingly, low tree density increased drought recovery in the studied holm oak woodlands under sub-humid and dry-transition climate conditions, while these effects of forest structure tended to disappear under semi-arid conditions. This may be due to rapid, intense growth after drought of dense grass vegetation in open Q. ilex woodlands under subhumid and dry-transition conditions. In fact, perennial grass vegetation, which typically exhibits quick and strong growth responses to precipitation pulses (García et al., 2010; Moreno-de-las-Heras et al., 2015), is an essential component of the open holm oak woodlands under the less dry conditions of the explored climate aridity gradient (Bochet et al., 2021). Contrastingly, tree interspaces in open Q. ilex woodlands under semi-arid conditions of the study region are scarcely covered by small woody shrub species (e.g., Thymus vulgaris, Helianthemum violaceum, Helianthemum marifolium, Genista scorpius) that have a slow growth response compared to grass vegetation and a rather low contribution to

landscape-level net primary production. In this sense, it is important to acknowledge that the net primary production (NPP) proxy applied in this work (MODIS iEVI) not only captures the contribution of the tree layer of vegetation but also the NPP contributions of the underlying shrub and grass components of the studied woodlands.

In spite of the detected strong post-drought recovery of production of semi-arid *Q. ilex* woodlands, these dry ecosystems showed a worse capacity to regain their pre-drought production levels compared to subhumid and dry-transition *Q. ilex* woodlands. DeSoto et al. (2020) suggested that the higher resilience of drought-affected forests and trees in wetter sites is caused by their higher capacity to resist the initial drought impact and keep their pre-disturbance tree status. In this way, the availability of soil water reserves that largely facilitate the maintenance of ecosystem production during drought in wet sites (Moreno-de-las-Heras et al., 2018; Preisler et al., 2019) can also play an important role for improving drought resilience under sub-humid and dry-transition climate conditions. In turn, the lack of these soil water reserves in dry sites may explain both the lower resistance and resilience of the analyzed semi-arid woodlands.

We acknowledge that, differently to the observed responses of drought resistance and recovery, resilience was not affected by forest structure in the analyzed Q. ilex ecosystems. Consistently, Castagneri et al. (2022) in a recent metanalysis over 166 published studies on the influence of stand-level competition on tree growth responses to drought concluded that tree basal area significantly reduces resistance and increases recovery, but does not affect resilience. For the analyzed semiarid woodlands, the observed lack of influence of forest density on drought resilience may be due to competition-release compensation effects. In fact, Serra-Maluquer et al. (2018) argued that tree decay and mortality during drought can result in competition release in dense forest stands, so that improved water availability after drought can support relatively high growth rates, potentially compensating for the initial negative effects of forest density on drought resistance. These competition-release compensation effects may also be supported by field observations in densely developed holm oak stands of dry areas distributed in NE Spain (Hoya de Huesca region) reporting severe canopy defoliation and significant stem mortality during the 2005 and 2012 drought events followed by higher than expected post-drought growth (García-Barreda et al., 2023). Differently, for wetter areas distributed in our study region, where higher availability of soil water resources reduce competition and increase resistance to drought, strong postdrought growth in open Q. ilex woodlands of both tree and betweentree perennial grass vegetation may compensate the benefits that larger densities of deep-rooted holm oak trees accessing deep soil water resources may have for maintaining landscape-level primary production during drought.

Besides the discussed effects of climate aridity and forest structure, drought intensity and both pre- and post-drought hydric conditions also conditioned the analyzed drought responses, explaining to a large extent the observed differences in resistance, recovery and resilience of the sites for the 2005 and 2012 drought events. For example, the 2005 event was characterized by higher drought intensity than the 2012 drought, thus resulting in larger woodland productivity reductions. These negative effects of drought intensity on resistance, frequently observed in other forest ecosystems (Gazol et al., 2017; Greenwood et al., 2017; Anderegg et al., 2020), may have also be reinforced for the analyzed *Q. ilex* woodlands by the wet pre-drought hydric conditions that took place immediately before the 2005 drought. Zhang et al. (2021) explain this reinforced effect as a function of structural overshoot, where surplus production caused by wet conditions before drought can largely exceed the biomass that can be later maintained during drought.

Contrary to drought resistance, the strength of the post-drought recovery of production in the explored holm oak woodlands was increased by both drought intensity and pre-drought hydric conditions, which overall reflects the common interdependence between drought resistance and post-drought recovery (Lloret et al., 2011; Gazol et al., 2018; Manrique-Alba et al., 2022). More importantly, the ability of the studied woodlands to fully regain their pre-drought production levels was negatively affected by drought intensity and further favored by wet postdrought hydric conditions. These effects can explain the very positive resilience observed for the 2012 drought, where 15 % of the sites not only recovered, but significantly improved their pre-drought primary production levels after drought. In fact, while the lower drought intensity of the 2012 event may have limited tree damage, providing better conditions for tree post-drought recovery than for the 2005 drought, the exceptionally wet post-drought hydric conditions that took place in 2013 very likely promoted strong vegetation growth after the 2012 drought. Accordingly, Forner et al. (2018), in a dendrometer-based localized study developed within our study region, also highlighted the advantages of the exceptionally wet conditions that took place immediately after the 2012 dry event for improving *Q. ilex* drought resilience.

# 4.2. Implications for the stability and management of Q. ilex woodlands

Overall, our results highlight the feasibility and potential of our broad-scale remote sensing approach for monitoring the health and drought vulnerability of forest ecosystems, which can largely facilitate their management in large areas with low availability of field data. We observed a strong control of local climate on drought vulnerability, characterized by contrasted responses to extreme drought of Q. ilex woodlands along the explored climate aridity gradient. On one hand, the semi-arid Q. ilex woodlands of the region show a low resistance to drought and a poor capacity to further regain their pre-drought ecosystem production levels. On the other hand, Q. ilex woodlands in sub-humid and dry-transition areas of the region, where wetter climate conditions may alleviate water stress and competition, show higher resistance and resilience to the effects of drought. Interestingly, several studies point to active holm oak expansion over sub-humid areas of the present distribution range, where Q. ilex positive performance during more frequent and intense dry periods is favoring large compositional shifts displacing less drought tolerant species (Peñuelas and Boada, 2003; Navarro-Cerrillo et al., 2019).

Holm oaks in semi-arid areas operate at the limits of hydraulic safety, which largely explains the emergence of more recurrent Q. ilex canopy dieback and tree mortality episodes in the present context of increased aridity and drought frequency (Corcuera et al., 2004; Camarero and Valeriano, 2023). In fact, the observed drought sensitivity that characterizes semi-arid Q. ilex woodlands in this study region concurs with previous results obtained from localized field observations, dendrochronological analyses and both experimental and modelling studies. For example, Camarero et al. (2016), in a semi-arid Q. ilex forest stand located in the Mijares river basin (east of our study region; MAP ~400 mm), observed very intense reductions of basal area increments accompanied by severe defoliation and stem mortality caused by the 2005 and 2012 drought events. Furthermore, Ogava and Peñuelas (2021) in a 21-year long rainfall exclusion experiment performed in a less dry holm oak forest of the Prades Mountains (NE Spain; MAP ~600 mm) observed that exclusion of 30 % annual precipitation caused large stem growth reductions and increases of tree mortality during dry and hot years of the series, also promoting a progressive replacement of Q. ilex by more drought resistant shrub species (e.g., Phillyrea latifolia L.). Overall, these results are in line with predictions obtained by forest species distribution models that foresee future mismatches, as the climate becomes more arid, between the Q. ilex ecological niche and the local climate conditions of semi-arid areas within its present distribution range (Benito-Garzón et al., 2008; Mauri et al., 2022).

Our results indicate that the effects of extreme droughts on primary production can be particularly negative for dense forest stands of semiarid holm oak woodlands, where competition for the use of scarce soil water resources may be particularly intense. These more vulnerable dry forests are commonly structured in the study region as dense coppice *Q. ilex* stands formed by multiple vegetative stems sprouting from the

root network of aged holm oak individuals that were affected in the past by repeated logging. Their aged root architecture and imbalanced root/ shoot ratios, which magnify water competition within multiple stems of the same individuals (Camarero et al., 2016), predispose these coppice semi-arid woodlands to drought-induced tree decay and mortality (Gentilesca et al., 2017; Serrada et al., 2017). Tree density reduction through selective thinning has been proposed as a key adaptive strategy for improving drought responses of Mediterranean forests (Molina et al., 2021). The benefits of thinning have been recently tested in overstocked Mediterranean pine plantations and densely developed holm oak stands, obtaining significant tree growth increases and mortality reductions (Ogaya et al., 2020; Molina et al., 2021; Manrique-Alba et al., 2022). Selective forest thinning may play a significant role as adaptive management strategy for improving drought responses of the analyzed semiarid holm oak forests. Conversely, the favorable responses to drought observed in sub-humid and dry transition areas of the study region, where holm oak woodlands with closed forest structure showed in general a high ability to maintain ecosystem primary production during drought, suggest that management actions in wet areas of the Q. ilex climate distribution range may be more appropriately directed to facilitate natural rewilding, including holm oak forest expansion and stand densification.

# 5. Conclusions

This study applied remote sensing analysis of the responses of landscape-level ecosystem production of Iberian *Q. ilex* woodlands to recent extreme droughts during 2000–2019, including the spatially extensive 2005 and 2012 severely dry events, along broad-scale gradients of climate aridity and forest structure in eastern Spain. Drought resistance and post-drought recovery were controlled by climate aridity and forest structure, which largely regulated resource availability and competition for the use of water. The ability to regain the pre-drought production levels after drought was further negatively affected by climate aridity in these holm oak woodlands. All these responses were also affected by drought intensity and both pre- and post-drought hydric conditions, which controlled the variations of the analyzed *Q. ilex* woodland responses between the 2005 and 2012 droughts.

Semi-arid *Q. ilex* woodlands, located in dry areas of the explored climate aridity gradient, showed a high sensitivity to extreme drought. Their resistance, assessed as the capacity of the woodlands to maintain primary production during drought, was low. Although these dry ecosystems showed a strong post-drought recovery of production, they also showed a poor resilience, characterized by a low capacity to fully regain their pre-drought production levels. Contrarily, holm oak woodlands in sub-humid and dry transition areas of the study region, where wetter climate conditions may alleviate water stress and competition during dry periods, showed a high resistance and resilience to the effects of droughts. Drought vulnerability was particularly high for dense holm oak stands developed under semi-arid climate conditions, where strong competition for scarce water resources largely reduced the ability of the woodlands to maintain landscape-level ecosystem production during drought.

The observed drought vulnerability of *Q. ilex* woodlands under semiarid climate conditions may affect the long-term stability of these dry ecosystems, particularly in the present context of rapid increases of climate aridity and severity of droughts that is taking place in the Mediterranean region. Selective forest thinning may help, as an adaptive management tool, to reduce drought vulnerability of semi-arid *Q. ilex* woodlands in dry areas of the territory, particularly for those more densely developed forest stands that show a low resistance to the effects of extreme drought. Conversely, natural rewilding may more appropriately guide management actions for more humid areas of the *Q. ilex* distribution range, where densely developed holm oak woodlands show in general a high ability to maintain ecosystem primary production during drought.

# CRediT authorship contribution statement

Mariano Moreno-de-las-Heras performed conceptualization, methodology, formal analysis and writing – original draft. Esther Bochet and Patricio García-Fayos performed funding acquisition, project coordination, conceptualization and writing – reviewing & edition. Sergio M. Vicente-Serrano, Tiscar Espigares, Maria J. Molina, Vicente Monleón, José M. Nicolau, and Jaume Tormo performed conceptualization and writing – reviewing & edition.

# Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

# Data availability

The MODIS, Sentinel-2 and SPEI data applied in this study are freely accessible from the NASA's, Copernicus' and CSIC's original databases. Other data of this study will be made available on request.

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#### Appendix A. Supplementary data

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